

07-06-04

IFW GRCB

PTO/SB/30 (05-03)

Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**Request
For
Continued Examination (RCE)
Transmittal**

Address to:
Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

| | |
|------------------------|--------------|
| Application Number | 09/426956 |
| Filing Date | 10/26/1999 |
| First Named Inventor | John Kihn |
| Art Unit | 3627 |
| Examiner Name | Gort |
| Attorney Docket Number | kih nj 40223 |

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application.
Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. **Submission required under 37 CFR 1.114** Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).

- a. ☐ Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.
- i. ☐ Consider the arguments in the Appeal Brief or Rely Brief previously filed on _____
- ii. ☐ Other _____
- b. ☒ Enclosed
- i. ☒ Amendment/Reply
- ii. ☐ Affidavit(s)/ Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☐ Other _____

2. **Miscellaneous**

- a. ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of _____ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)
- b. ☐ Other _____

3. **Fees**

- The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.
- The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 13-4630
- i. ☒ RCE fee required under 37 CFR 1.17(e)
- ii. ☐ Extension of time fee (37 CFR 1.136 and 1.17)
- iii. ☐ Other _____
- b. ☐ Check in the amount of \$ _____ enclosed
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

| | | | |
|-------------------|----------------------|-----------------------------------|------------|
| Name (Print/Type) | Gerald Altman | Registration No. (Attorney/Agent) | 17,109 |
| Signature | <i>Gerald Altman</i> | Date | 07/03/2004 |

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

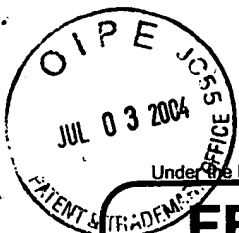
| | | | |
|-------------------|----------------------|------|--------------|
| Name (Print/Type) | Gerald Altman | Date | July 3, 2004 |
| Signature | <i>Gerald Altman</i> | | |

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

07/08/2004 RNEBRAHT 00000092 134630 09426956

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PTO/SB/17 (10-03)
Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 385

Complete if Known

| | |
|----------------------|------------|
| Application Number | 09/426956 |
| Filing Date | 10/26/1999 |
| First Named Inventor | John Kihn |
| Examiner Name | Gort |
| Art Unit | 3627 |
| Attorney Docket No. | kihnj40223 |

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number: 13-4630
Deposit Account Name: Altman & Martin

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|-------------------------------|-------------------------------|------------------------|----------|
| 1001 770 | 2001 385 | Utility filing fee | |
| 1002 340 | 2002 170 | Design filing fee | |
| 1003 530 | 2003 265 | Plant filing fee | |
| 1004 770 | 2004 385 | Reissue filing fee | |
| 1005 160 | 2005 80 | Provisional filing fee | |
| SUBTOTAL (1) (\$) | | | 0 |

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| | Extra Claims | Fee from below | Fee Paid |
|---------------------------|--------------|----------------|----------|
| Total Claims | -20** = 0 | X 9 = 0 | |
| Independent Claims | -3** = 0 | X 43 = 0 | |
| Multiple Dependent Claims | | | |

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|-------------------------------|-------------------------------|--|----------|
| 1202 18 | 2202 9 | Claims in excess of 20 | |
| 1201 86 | 2201 43 | Independent claims in excess of 3 | |
| 1203 290 | 2203 145 | Multiple dependent claim, if not paid | |
| 1204 86 | 2204 43 | ** Reissue independent claims over original patent | |
| 1205 18 | 2205 9 | ** Reissue claims in excess of 20 and over original patent | |
| SUBTOTAL (2) (\$) | | | 0 |

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|-------------------------------|-------------------------------|--|----------|
| 1051 130 | 2051 65 | Surcharge - late filing fee or oath | |
| 1052 50 | 2052 25 | Surcharge - late provisional filing fee or cover sheet | |
| 1053 130 | 1053 130 | Non-English specification | |
| 1812 2,520 | 1812 2,520 | For filing a request for <i>ex parte</i> reexamination | |
| 1804 920* | 1804 920* | Requesting publication of SIR prior to Examiner action | |
| 1805 1,840* | 1805 1,840* | Requesting publication of SIR after Examiner action | |
| 1251 110 | 2251 55 | Extension for reply within first month | |
| 1252 420 | 2252 210 | Extension for reply within second month | |
| 1253 950 | 2253 475 | Extension for reply within third month | |
| 1254 1,480 | 2254 740 | Extension for reply within fourth month | |
| 1255 2,010 | 2255 1,005 | Extension for reply within fifth month | |
| 1401 330 | 2401 165 | Notice of Appeal | |
| 1402 330 | 2402 165 | Filing a brief in support of an appeal | |
| 1403 290 | 2403 145 | Request for oral hearing | |
| 1451 1,510 | 1451 1,510 | Petition to institute a public use proceeding | |
| 1452 110 | 2452 55 | Petition to revive - unavoidable | |
| 1453 1,330 | 2453 665 | Petition to revive - unintentional | |
| 1501 1,330 | 2501 665 | Utility issue fee (or reissue) | |
| 1502 480 | 2502 240 | Design issue fee | |
| 1503 640 | 2503 320 | Plant issue fee | |
| 1460 130 | 1460 130 | Petitions to the Commissioner | |
| 1807 50 | 1807 50 | Processing fee under 37 CFR 1.17(q) | |
| 1806 180 | 1806 180 | Submission of Information Disclosure Stmt | |
| 8021 40 | 8021 40 | Recording each patent assignment per property (times number of properties) | |
| 1809 770 | 2809 385 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 1810 770 | 2810 385 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| 1801 770 | 2801 385 | Request for Continued Examination (RCE) | 385 |
| 1802 900 | 1802 900 | Request for expedited examination of a design application | |

Other fee (specify) -

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 385

SUBMITTED BY

| | | | | | |
|-------------------|----------------------|-----------------------------------|--------------|-----------|--------------|
| Name (Print/Type) | Gerald Altman | Registration No. (Attorney/Agent) | 17,109 | Telephone | 617-523-3515 |
| Signature | <i>Gerald Altman</i> | Date | July 3, 2004 | | |

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Art unit: 3627
Examiner: Gort
Attorney Docket: kihnj40223

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: John Kihn

Application: 09/426956

Filed: 10/26/1999

**For: Universal Asset Class Benchmarking
System, Process and Product**

**Boston, Massachusetts
Date: July 3, 2004**

**Honorable Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450**

Dear Sir/Madam:

REQUEST FOR RECONSIDERATION

**This is submitted in conjunction with a Request for Continued
Examination.**

**On May 5, 2004, the undersigned submitted an amendment which
withdrew or cancelled all claims other than Claim 14. A copy of the return
cards referring to this amendment is annexed.**

**The Examiner had indicated that Claim 14, then dependent on Claim
13, would be allowable if amended to include all of the contents of Claim
13.**

The aforementioned amended, in fact, complied with the Examiner's suggestions by amending Claim 14 to include all of the contents of Claim 13 and withdrawing or cancelling all claims other than Claim 14.

Since Claim 14, which has been indicated to contain allowable subject matter, is the only claim remaining in this case, it is believed that this application is in condition for allowance.

The Advisory Action asserting new issues and a refusal to enter the earlier amendment is believed to be in error.

An allowance is respectfully requested.

The undersigned requests that the Examiner call the undersigned if she believes that resolution of the issued stated herein may be expedited thereby.

Respectfully submitted,



Gerald Altman
Tel. 617-523-3515 Ext. 201
E-mail galtman@comcast.net

617-523 -3515 Ext. 201

GA/s

Kihn j 40223

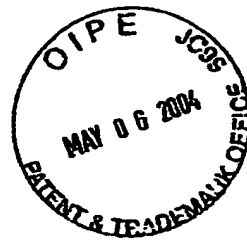
Pat App of John Kihn

App. No. 09/426956

Filed 10/26/1999

(1) Transmittal

(2) Extension Request



**Please date/stamp
+ mail**

Kihn j 40223

Please date, stamp + mail

Applicant: John Kihn

Application 09/426956 10/26/1999

(1) Amended Claims

(2) Notice of Appeal

(3) Remarks

(4) check 640 + Deposit Fee

(5) Transmittal

(6) Fee Transmittal

